

Case 162-CIP

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re: application of:**

FINKL, Charles W.	)	
UNDERYS, Algirdas A.	)	Group Art Unit
	)	1742
Application No.: 09/160,895	)	Primary Examiner
Filing Date: 09/25/98	)	IP
Entitled: METHOD AND APPARATUS	)	
FOR PREVENTING CRACKING	)	
OF THE SHANK JUNCTION OF	)	
DIE BLOCKS	)	

LETTER

We submit herewith the declaration documentation requested in paragraphs "6." and "7." of the June 7, 2000, Office Action, paper no. 3.

We also submit herewith a "Confirming Declaration" signed by Guy A. Brada with the request that it be associated with Mr. Brada's "Declaration" which was filed concurrently with the amendment mailed November 6, 2000.

Respectfully submitted,

James G. Staples, Esq.  
Reg. No. 19,013

Reg. No. 19,013

Reg. No. 19,013

A. Finkl & Sons Co.  
2011 North Southport Avenue  
Chicago, IL 60614  
(773) 975-2235  
(773) 975-2636 (fax)

(I hereby certify that this correspondence  
is being deposited with the U. S. Postal  
Service as 1st class mail in an envelope  
addressed to:

Comr. of Patents & Trademarks,  
Washington, D. C., 20531 on 11/30/00  
James G. Ames / B. Staples 11/30/00  
James G. Ames, Jr., Hn. 19,013 Date)

**PATENT APPLICATION DECLARATION**  
(page one of two)

**I HEREBY DECLARE THAT:**

My residence and citizenship are as stated next to my name in PART A on page two hereof.

I believe I am the original, first and sole inventor (if one name is listed below) or one of the original, first and joint inventors of the subject matter (if plural names are listed below) which is claimed and for which a patent is sought on the invention entitled Method & Apparatus for Preventing Cracking at the\*, the specification of which  is filed herewith OR  was filed on \_\_\_\_\_ and has been assigned Serial No. \_\_\_\_\_.

\*Shank Junction of Die Blocks

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the Claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of the Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed in PART B on page two hereof, and have also identified inventor's certificate having a filing date before that of the application on which priority is claimed.

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), listed in PART C on page two hereof and, insofar as the subject matter of each of the Claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a), which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(continued)

**PATENT APPLICATION DECLARATION**  
(page two of two)

**Send Correspondence and Direct Telephone Calls To:**

James G. Staples, Esq.  
A. Finkl & Sons Co.  
2011 North Southport  
Chicago, IL 60614

**PART A: INVENTOR INFORMATION AND SIGNATURE:**

Full Name of First Inventor: Charles W. Finkl Date: 9/24/98

Inventor's Signature: Charles W. Finkl Citizenship: U.S.A.

Residence and Post Office Address: 2011 N. Southport Avenue, Chicago, IL 60614

Full Name of Second Inventor: Alexander A. Underys Date: 6/12/98

Inventor's Signature: Alexander A. Underys Citizenship: U.S.A

Residence and Post Office Address: 1044 E. JULES/ARUNERON HTS, IL 60004

Full Name of Third Inventor: \_\_\_\_\_ Date: \_\_\_\_\_

Inventor's Signature: \_\_\_\_\_ Citizenship: \_\_\_\_\_

Residence and Post Office Address: \_\_\_\_\_

**PART B: PRIOR FOREIGN APPLICATION(S):**

<u>n/a</u> (Serial No.)	<u>                  </u> (Country)	<u>                  </u> (Day/Month/Year Filed)	Priority Claimed Yes ( ) No ( )
<u>n/a</u> (Serial No.)	<u>                  </u> (Country)	<u>                  </u> (Day/Month/Year Filed)	Priority Claimed Yes ( ) No ( )

**PART C: CLAIM FOR BENEFIT OF FILING DATE OF EARLIER U.S. APPLICATION:**

n/a  
(Serial No.)                     
(Day/Month/Year Filed)                     
(Status: Patented, pending, aband)

Type a plus sign (+) inside this box →

PTO/SB/04 (10-00)

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**SUPPLEMENTAL  
DECLARATION FOR UTILITY  
OR DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

Attorney Docket Number	162-CIP-U.S
First Named Inventor	Charles W. Finkl
COMPLETE IF KNOWN	
Application Number	09/1160,895
Filing Date	09/25/98
Group Art Unit	1742
Examiner Name	I P

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**METHOD AND APPARATUS FOR PREVENTING CRACKING OF THE SHANK JUNCTION OF DIE BLOCKS**

the specification of which

(Title of the Invention)

is attached hereto

OR

was filed on (MM/DD/YYYY)

09/25/98

as United States Application Number or PCT International

09/1160,895

and was amended on (MM/DD/YYYY)

11/06/00

(if applicable)

11/06/00

Application Number

application as filed

and the

attached amendment

amendment filed on

hereby declare that the subject matter of the application as filed was part of my or our invention and was invented before the filing date of the original application, above identified for such invention.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the natural or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?
<i>Q</i>			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
<i>Q</i>		<input type="checkbox"/>

[Page 1 of 2]

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## SUPPLEMENTAL DECLARATION — UTILITY OR DESIGN PATENT APPLICATION

Direct all correspondence to:  Customer Number  
or Bar Code Label  OR  Correspondence address below

Name James E. Staples

Address 2011 North Southport Avenue

Address

City Chicago	State IL	ZIP 60614
Country U.S.A.	Telephone (773) 975-2235	Fax (773) 975-2636

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements, may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:  A petition has been filed for this unsigned inventor

Given Name Charles	Middle Name W.	Family Name or Surname Finck
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Inventor's Signature Cawinkl	Date 11/30/00
------------------------------	---------------

Residence: City Evanston	State IL	Country U.S.A.	Citizenship U.S.A.
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Mailing Address 2651 Sheridan Road

Mailing Address

City Evanston	State IL	ZIP 60201	Country U.S.A.
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Name of Second Inventor:  A petition has been filed for this unsigned inventor

Given Name Aligirdas	Middle Name A.	Family Name or Surname Underys
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Inventor's Signature Aligirdas C. Underys	Date 11/30/00
---	---------------

Residence: City Arlington Heights	State IL	Country U.S.A.	Citizenship U.S.A.
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Mailing Address 1044 East Jules

Mailing Address ~~Arlington Heights~~

City Arlington Heights	State IL	ZIP 60004	Country U.S.A.
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Additional inventors are being named on the \_\_\_\_\_ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

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*John H. Johnson*  
UNITED STATES PATENT  
& TRADEMARK OFFICE

WASHINGTON, D.C. 20231

